APR 1 9 2001



## TECH CENTER 1600/2900

PATENT

Attorney Docket No.: A-67501/DJB/TAL

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GOETZL et al.

Serial No. 09/274,752

Filed: March 23, 1999

For: HUMAN POLYPEPTIDE RECEPTORS FOR LYSOPHOSPHOLIPIDS AND SPHINGOLIPIDS AND NUCLEIC ACIDS ENCODING THE SAME

Examiner: Harris, A.

Group Art Unit: 1643

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

#### TRANSMITTAL OF SEQUENCE LISTING

**BOX SEQUENCE LISTING Assistant Commissioner** for Patents Washington, DC 20231

Sir:

In response to an Office Communication dated March 21, 2000, enclosed are the

#### following:

- Transmittal; 1.
- Replacement Computer readable form of Sequence Listing; 2.
- Replacement Paper Copy of Sequence Listing; 3.
- 4. Amendment;
- 5. Copy of PTO Communication.

**Serial No.**: 09/274,752 **Fil d**: March 23, 1999

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-67501/DJB/TAL).

Respectfully submitted,

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

Todd A. Lorenz Reg. 39,754

Four Embarcadero Center Suite 3400 San Francisco, CA 94111-4187 Telephone: (415) 781-1989





## S DEPARTMENT OF COMMERCE

Pat nt and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

**FILING DATE** FIRST NAMED INVENTOR ATTORNEY DOCKET NO.

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91-5750170JB/

-1905 NOTES 1

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DAM TRANCTORU LA SAVI - MIST

**EXAMINER** 

HARRIBAN

**ART UNIT** 

PAPER NUMBER

164

**DATE MAILED:** 

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

File A-67501 Atty DSB, TAL, DL Die Date 4-21-2001 Type Segure Liden Kefs

Application No.: 69274, 752

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

(110	
1PE C.	1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
APR 1 7 2001	·
TRADEMARTS	2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
_	3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required b 37 C.F.R. 1.821(e).
. /	4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
	5. The computer readable form that has been filed with this application has been found to be damag and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
	6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
	7. Other:
	Applicant Must Provide:
	An initial of substitute computer readable form (CRF) copy of the "Sequence Listing".
	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
	A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For Patentin software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE





# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

DEA/FCE-1994

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
OZ.III IZ I I I I I I I I I I I I I I I I			

EXAMINER

Alana M. Harris, Ph.D.

ART UNIT PAPER NUMBER

1642 11

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

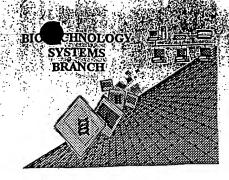
- 1. The reply filed February 12, 2001 is not fully responsive to the communication mailed January 10, 2001 for the reason(s) set forth on the enclosed copy of the CRF Diskette Problem Report. Since the above-mentioned reply appears to be bona fide, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS, from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME LIMIT MAY BE GRANTED UNDER 37 CFR 1.136(a). Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.
- 2. Any inquiry concerning this communication should be directed to Examiner Alana M. Harris, Art Unit 1642, whose telephone number is (703)306-5880.
- 3. Any questions regarding compliance with the sequence rules requirements specifically should be directed to the departments listed at the bottom of the Notice to Comply.

Alana M. Harris, Ph.D. Patent Examiner Group 1642

SHEELA HUFF PRIMARY EXAMINER



## RAW SEQUENCE LISTING ERROR REPORT





The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/274,752CSource: 1600Date Processed by STIC: 2/22/200/

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

 TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

http://www.uspto.gov/web/offices/pac/checker.



re-m

PATENT APPLICATION: US/09/274,752C

DATE: 03/13/2001 TIME: 17:08:17

Input Set : A:\A-67501.app

Output Set: N:\CRF3\03132001\I274752C.raw

3 <110> APPLICANT: Goetzl, Edward L. An, Songzhu 6 <120> TITLE OF INVENTION: Human Polypeptide Receptors for Lysophospholipids and Sphingolipids and Nucleic Acids Encoding the Same 9 <130> FILE REFERENCE: A-67501/DJB/TAL 11 <140> CURRENT APPLICATION NUMBER: 09/274,752C 12 <141> CURRENT FILING DATE: 1999-03-23 Does Not Comply 14 <160> NUMBER OF SEQ ID NOS: 29 Corrected Diskette Needed 16 <170> SOFTWARE: PatentIn Ver. 2.0 18 <210> SEQ ID NO: 1 19 <211> LENGTH: 382 20 <212> TYPE: PRT 21 <213> ORGANISM: Homo sapiens 23 <400> SEQUENCE: 1. 24 Met Val Ile Met Gly Gln Cys Tyr Tyr Asn Glu Thr Ile Gly Phe Phe 25 1 10 27 Tyr Asn Asn Ser Gly Lys Glu Leu Ser Ser His Trp Arg Pro Lys Asp 20 25 30 Val Val Val Ala Leu Gly Leu Thr Val Ser Val Leu Val Leu Leu 33 Thr Asn Leu Leu Val Ile Ala Ala Ile Ala Ser Asn Arg Arg Phe His 55 36 Gln Pro Ile Tyr Tyr Leu Leu Gly Asn Leu Ala Ala Asp Leu Phe 37 65 70 75 39 Ala Gly Val Ala Tyr Leu Phe Leu Met Phe His Thr Gly Pro Arg Thr 90 42 Ala Arg Leu Ser Leu Glu Gly Trp Phe Leu Arg Gln Gly Leu Leu Asp 105 43 45 Thr Ser Leu Thr Ala Ser Val Ala Thr Leu Leu Ala Ile Ala Val Glu 46 115 120 125 48 Arg His Arg Ser Val Met Ala Val Gln Leu His Ser Arg Leu Pro Arg 135 140 130 51 Gly Arg Val Val Met Leu Ile Val Gly Val Trp Val Ala Ala Leu Gly 150 155 54 Leu Gly Leu Leu Pro Ala His Ser Trp His Cys Leu Cys Ala Leu Asp 165 170 175 57 Arg Cys Ser Arg Met Ala Pro Leu Leu Ser Arg Ser Tyr Leu Ala Val 180 185 190 60 Trp Ala Leu Ser Ser Leu Leu Val Phe Leu Leu Met Val Ala Val Tyr 195 200 63 Thr Arg Ile Phe Phe Tyr Val Arg Arg Arg Val Gln Arg Met Ala Glu 210 215 220 66 His Val Ser Cys His Pro Arg Tyr Arg Glu Thr Thr Leu Ser Leu Val 230 235 69 Lys Thr Val Val Ile Ile Leu Gly Ala Phe Val Val Cys Trp Thr Pro

250

72 Gly Gln Val Val Leu Leu Asp Gly Leu Gly Cys Glu Ser Cys Asn

245

RAW SEQUENCE LISTING DATE: 03/13/2001 PATENT APPLICATION: US/09/274,752C TIME: 17:08:17

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Output Set: N:\CRF3\03132001\I274752C.raw

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75 Val Leu Ala Val Glu Lys Tyr Phe Leu Leu Ala Glu Ala Asn Ser
                                                   285
76
           275
                               280
78 Leu Val Asn Ala Ala Val Tyr Ser Cys Arg Asp Ser Glu Met Arg Arg
                                               300
       290
                           295
79
81 Thr Phe Arg Arg Leu Cys Cys Ala Cys Leu Arg Gln Ser Thr Arg
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                       310
82 305
84 Glu Ser Val His Tyr Thr Ser Ser Ala Gln Gly Gly Ala Ser Thr Arg
                   325
                                       330
85
87 Ile Met Leu Pro Glu Asn Gly His Pro Leu Met Thr Pro Pro Phe Ser
88
                                   345
                                                       350
90 Tyr Leu Glu Leu Gln Arg Tyr Ala Ala Ser Asn Lys Ser Thr Ala Pro
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105 atcggcttct tctataacaa cagtggcaaa gagctcagct cccactggcg gcccaaggat 180
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 RAW SEQUENCE LISTING
 DATE: 03/13/2001

 PATENT APPLICATION:
 US/09/274,752C
 TIME: 17:08:17

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Output Set: N:\CRF3\03132001\I274752C.raw

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RAW SEQUENCE LISTING DATE: 03/13/2001 PATENT APPLICATION: US/09/274,752C TIME: 17:08:17

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Output Set: N:\CRF3\03132001\I274752C.raw

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217 gtcatcetet gttgegecat gcaggagacy acetecegec aggtggeete ggeetteate 180
218 gtcatcctct gttgcgccat tgtggtggaa aaccttctgg tgctcattgc ggtggcccga 240
219 aacagcaagt tocactoggc aatgtacotg tttotgggca acctggcogc otcogatota 300
220 etggeaggeg tggeettegt agecaatadd ttgetetetg getetgteae getgaggetg 360
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222 ttcagcctcc tggccatcgc cattgagcgc cacgtggcca ttgccaaggt caagctgtat 480
223 ggcagcgaca agagctgccg catgcttctg ctcatcgggg cctcgtggct catctcgctg 540
224 gtcctcggtg gcctgcccat ccttggctgg aactgcctgg gccacctcga ggcctgctcc 600
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226 atcctgttgg ccatcgtggc cctgtacgtg cgcatctact gcgtggtccg ctcaagccac 720
227 getgacatgg cegecegea gacgetagee etgeteaaga eggteaceat egtgetagge 780
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232 cogggecace acetectgee actoogcage tecageteee tggagagggg catgeacatg 1080
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243 acaacagtgg caaagagete ageteecact ggeggeecaa ggatgtggte gtggtggcae 180
244 tggggetgac cgtcagegtg ctggtgetgc tgaccaatct getggteata geagecateg 240
245 cetecaaceg cegetteeac cageceatet actaectget eggeaatetg geegeggetg 300
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DATE: 03/13/2001

TIME: 17:08:17

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                      Output Set: N:\CRF3\03132001\I274752C.raw
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   282 <223> OTHER INFORMATION: The n at position 21 can be a or c.
   284 <400> SEQUENCE: 7
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305 <223> OTHER INFORMATION: The n at position 4
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   308 <221> NAME/KEY: misc_feature 309 <222> LOCATION: (6) (6), (8) 310 <223> OTHER INFORMATION: The n at position 6 and 8 can be a or c.
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314 <222> LOCATION: (7) (9) /8
315 <223> OTHER INFORMATION: The n at position 7, 9, and 19 can be a or g.
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/274,752C

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/274,752C

DATE: 03/13/2001 TIME: 17:08:18

Input Set : A:\A-67501.app

Output Set: N:\CRF3\03132001\I274752C.raw

L:285 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 L:318 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9